

# Fundamentals of U.S. Patent and Trademarks – Searching and Procurement

**Laurén S. Murray, Esq.**

**October 30, 2018**

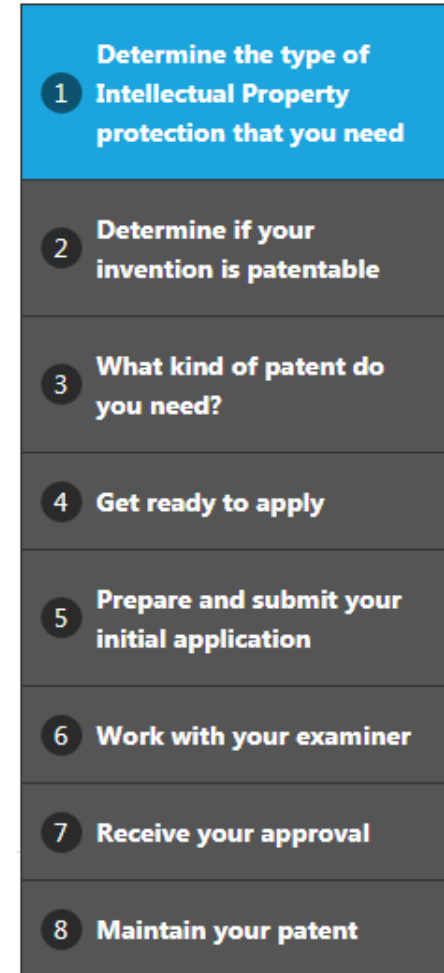
# Agenda

- U.S. Patent Procurement
- Patent Searching
- U.S. Trademark Procurement
- Trademark Searching
- Overlapping Universes of IP
- Case Studies (time permitting)



# U.S. Patent Procurement

- USPTO's Patent Process Overview
- [www.uspto.gov/patents-getting-started/patent-process-overview](http://www.uspto.gov/patents-getting-started/patent-process-overview)



# U.S. Patent Procurement – how long will it take?



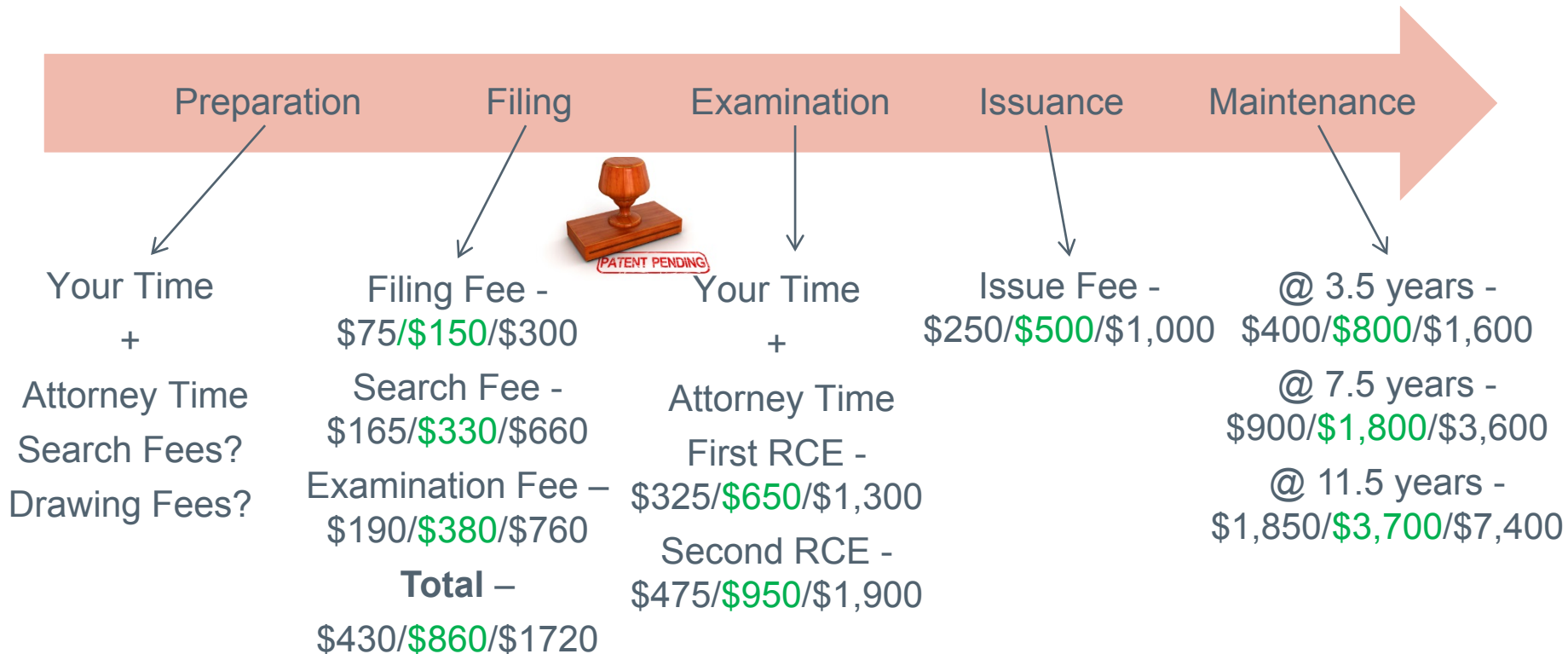
[www.uspto.gov/dashboards/patents/main.dashxml](http://www.uspto.gov/dashboards/patents/main.dashxml)

# U.S. Patent Procurement – How much will it cost?





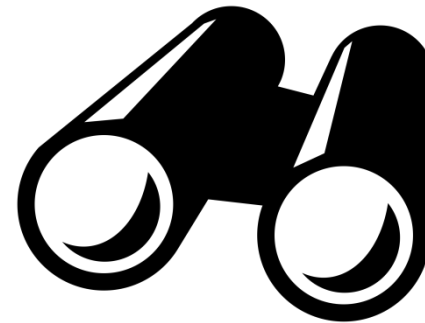
# U.S. Patent Procurement – a financial timeline



- Fees for a U.S. non-provisional patent application without extras fees for claims or pages
- Micro Entity/**Small Entity**/Large Entity

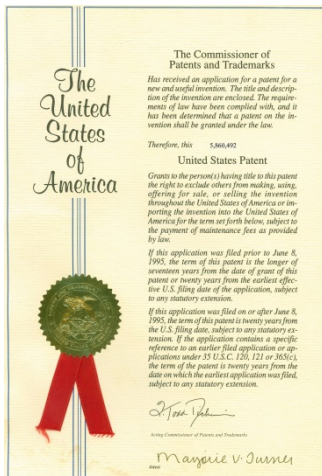
# Patent Searching

- Types of Searches
  - Patentability
  - Validity
  - Infringement
  - Clearance
  - State of the Art
- Tools and Techniques
  - USPTO's Seven Step Search Strategy
  - USPTO Search Example



# Types of Prior Art Searches – Patentability Search

- To determine if an invention is patentable / claim scope
- Look for prior art disclosing the invention or something similar
- Any “public domain” knowledge





# Types of Prior Art Searches – Validity Search

- To determine the validity of an issued patent
- Look for prior art documents that would invalidate one or more claims in the issued patent



# Types of Prior Art Searches – Validity Search (example)

What is claimed is:

1. An apparatus, comprising:
  - a vehicle (“ego-vehicle”) configured to be autonomously navigated in a peloton along a roadway, wherein the peloton comprises the ego-vehicle and at least one additional vehicle, wherein the ego-vehicle comprises:
    - a vehicle navigation system which is configured to:
      - based on a comparison of driving ranges of each of the ego-vehicle and the at least one additional vehicle, determine a particular configuration of the peloton, which comprises a particular peloton position in which the ego-vehicle is navigated relative to the at least one additional vehicle, which reduces a difference of the relative driving ranges of the ego-vehicle and the at least one additional vehicle; and
      - generate a set of control commands which cause the vehicle to be navigated in the peloton at the particular peloton position, according to the particular configuration of the peloton.

## Related U.S. Application Data

- (60) Provisional application No. 62/232,853, filed on Sep. 25, 2015.

## (12) United States Patent Aikin et al.

- (54) **PELTON**
- (71) Applicant: **Apple Inc.**, Cupertino, CA (US)
- (72) Inventors: **Randol W. Aikin**, Sunnyvale, CA (US); **Malcolm J. Northcott**, Felton, CA (US)
- (73) Assignee: **Apple Inc.**, Cupertino, CA (US)
- (\*) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 0 days.
- (21) Appl. No.: **15/275,160**
- (22) Filed: **Sep. 23, 2016**

### Related U.S. Application Data

- (60) Provisional application No. 62/232,853, filed on Sep. 25, 2015.
- (51) **Int. CL**  
*G01S 13/00* (2006.01)  
*G01S 13/93* (2006.01)  
*G05D 1/00* (2006.01)  
*G05D 1/02* (2006.01)  
*B60L 11/18* (2006.01)
- (52) **U.S. CL**  
 CPC ..... *G05D 1/0293* (2013.01); *B60L 11/1801* (2013.01); *B60L 11/1816* (2013.01); *G05D 1/0295* (2013.01)
- (58) **Field of Classification Search**  
 CPC ..... *G05D 1/00*; *G05D 1/0293*; *G05D 1/0295*; *B60L 11/00*; *B60L 11/1801*; *B60L 11/1816*; *G01C 21/00*; *G01C 21/26*; *G01C 21/34*; *G08G 1/22*
- See application file for complete search history.

(10) **Patent No.:** **US 10,108,202 B1**  
 (45) **Date of Patent:** **Oct. 23, 2018**

### (56) References Cited

#### U.S. PATENT DOCUMENTS

|                   |         |            |            |
|-------------------|---------|------------|------------|
| 6,032,097 A *     | 2/2000  | Iihoshi    | G08G 1/22  |
|                   |         |            | 180/168    |
| 6,813,561 B2 *    | 11/2004 | MacNeille  | G01C 21/26 |
|                   |         |            | 342/357.34 |
| 8,676,466 B2 *    | 3/2014  | Mudalige   | G08G 1/22  |
|                   |         |            | 370/252    |
| 8,774,981 B2 *    | 7/2014  | Paz-Meidan | B25J 5/00  |
|                   |         |            | 700/245    |
| 9,396,661 B2 *    | 7/2016  | Okamoto    | G08G 1/22  |
| 9,799,224 B2 *    | 10/2017 | Okamoto    | G08G 1/22  |
| 2004/0193372 A1 * | 9/2004  | MacNeille  | G01C 21/26 |
|                   |         |            | 701/468    |
| 2014/0210646 A1 * | 7/2014  | Subramanya | B61L 29/28 |
|                   |         |            | 340/928    |

\* cited by examiner

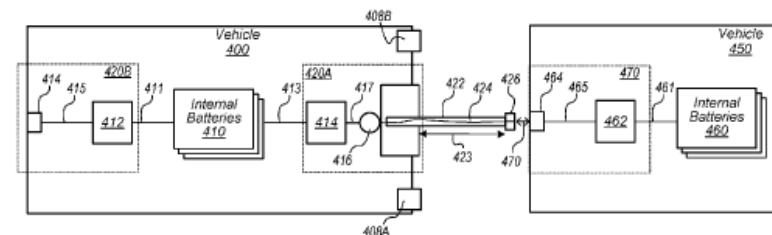
Primary Examiner — Yonel Beaulieu

(74) Attorney, Agent, or Firm — Robert C. Kowert; Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C.

### ABSTRACT

(57) A vehicle configured to be autonomously navigated in a peloton along a roadway, wherein the peloton comprises at least the vehicle at least one additional vehicle, is configured to determine a position of the vehicle in the peloton which reduces differences in relative driving ranges among the vehicles included in the peloton. The vehicles can dynamically adjust peloton positions while navigating to reduce driving range differences among the vehicles. The vehicle can include a power management system which enables the vehicle to be electrically coupled to a battery included in another vehicle in the peloton, so that driving range differences between the vehicles can be reduced via load sharing via the electrical connection. The vehicle can include a power connector arm which extends a power connector to couple with an interface of another vehicle.

20 Claims, 5 Drawing Sheets



# Types of Prior Art Searches – Infringement Search

- To determine whether a patent claim would be infringed
- Compare a proposed product or service to non-expired U.S. patents

(12) **United States Patent**  
**Aikin et al.**

(10) **Patent No.:** **US 10,108,202 B1**  
(45) **Date of Patent:** **Oct. 23, 2018**

(54) **PELTON**

(56) **References Cited**

(71) Applicant: **Apple Inc.**, Cupertino, CA (US)

U.S. PATENT DOCUMENTS

(72) Inventors: **Randol W. Aikin**, Sunnyvale, CA (US);  
**Malcolm J. Northcott**, Felton, CA (US)

6,032,097 A \* 2/2000 Iihoshi ..... G08G 1/22  
180/168  
6,813,561 B2 \* 11/2004 MacNeille ..... G01C 21/26  
342/357.34  
8,676,466 B2 \* 3/2014 Mudalige ..... G08G 1/22  
370/252  
8,774,981 B2 \* 7/2014 Paz-Meidan ..... B25J 5/00  
700/245  
9,396,661 B2 \* 7/2016 Okamoto ..... G08G 1/22  
9,799,224 B2 \* 10/2017 Okamoto ..... G08G 1/22  
2004/0193372 A1 \* 9/2004 MacNeille ..... G01C 21/26  
701/468  
2014/0210646 A1 \* 7/2014 Subramanya ..... B61L 29/28  
340/928

(73) Assignee: **Apple Inc.**, Cupertino, CA (US)

(\*) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 0 days.

(21) Appl. No.: **15/275,166**

(22) Filed: **Sep. 23, 2016**

## Related U.S. Application Data

(60) Provisional application No. 62/232,853, filed on Sep. 25, 2015.

\* cited by examiner

Primary Examiner — Yonel Beaulieu

(74) Attorney, Agent, or Firm — Robert C. Kowert;  
Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C.

(57)

**ABSTRACT**

September 25, 2015

+

20 years

+

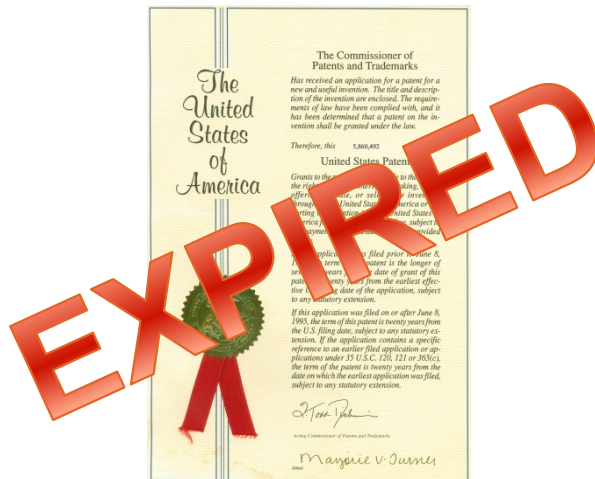
0 days

=

September 25, 2035

# Types of Prior Art Searches – Clearance Search

- To determine if an action is a “safe” practice of the prior art (“safe” = reduced risk of patent infringement liability)
- Try to find that the invention has been “dedicated to the public”



- Scope
  - Expired or Lapsed Patents
  - Abandoned Published Patent Applications

# Types of Prior Art Searches – State of the Art Search

- To determine the “lay of the land” in a technical space
- Look at the broad, general inventive concept without specific implementation details







# USPTO's 7-Step Search Strategy

1. Brainstorm Terms
2. Find Cooperative Patent Classification (CPC)
3. Verify CPC
4. Retrieve Issued U.S. Patents with CPC, Review and Narrow Results
5. Review Each Relevant Patent in Depth including References Cited by the Examiner and the Applicant
6. Retrieve U.S. Patent Applications with CPC, Review and Narrow Results
7. Broaden Your Search

[www.uspto.gov/learning-and-resources/support-centers/patent-and-trademark-resource-centers-ptrc/resources/seven](http://www.uspto.gov/learning-and-resources/support-centers/patent-and-trademark-resource-centers-ptrc/resources/seven)

## USPTO Search Example – Step 1

- Invention: Umbrella with a new rib design to eliminate collapsing or inverting due to winds

### Step 1: Brainstorm Terms

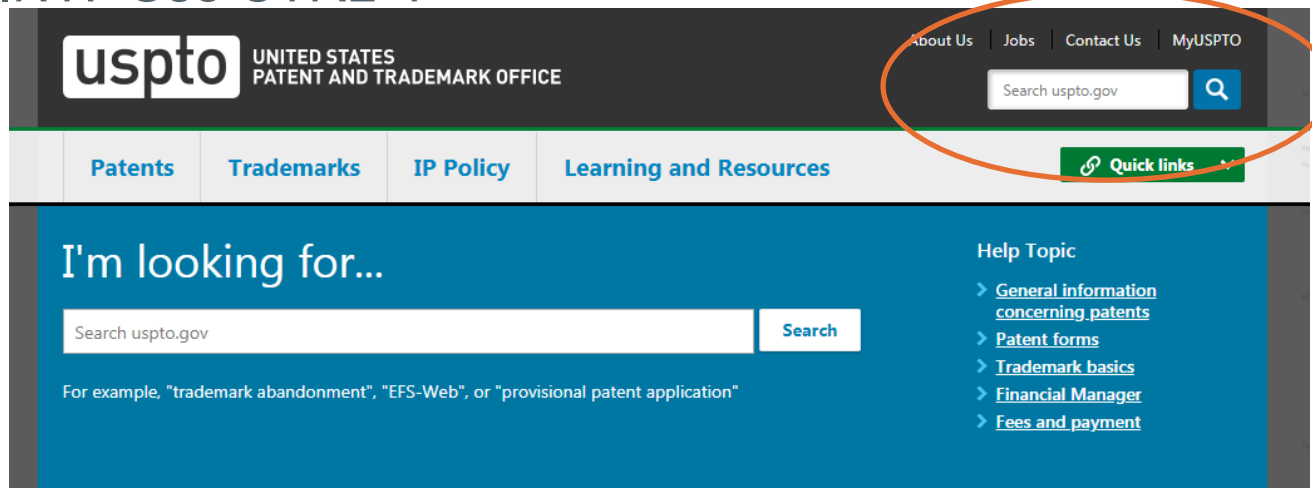
- Umbrella
- Rib
- Parasol
- Sunshade
- Wind-resistant



## USPTO Search Example – Step 2

### Step 2: Find CPC

- www.uspto.gov
- Search for “CPC scheme umbrella”
- Scan results for the best match: “A45B 25/22 Devices for increasing the resistance of umbrellas to wind”
- ❖ HINT: Adjust indentation level
- ❖ HINT: Use CTRL+F





# USPTO Search Example – Step 3

## Step 3: Verify Relevancy of CPC

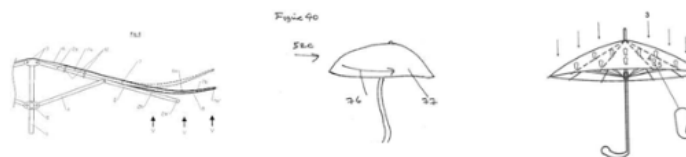
|     |                   |  |
|-----|-------------------|--|
| D   | A45B 25/20        | . . Windows in covers  |
| D   | A45B 25/22        | . Devices for increasing the resistance of umbrellas to wind   |
| D - | A45B 25/24        | . Protective coverings for umbrellas when closed   |
| D   | A45B 25/26        | . . Ventilated coverings   |
| D   | A45B 25/28        | . Drip receptacles for umbrellas; Attaching devices therefor   |
| D   | A45B 25/30        | . Name-plates; Badges; Labelling or marking devices; Means for attaching same (attached to the umbrella stick A45B 9/06) |
| D - | <b>A45B 27/00</b> | <b>Ladies' or like fans</b>  |
| D   | A45B 27/02        | . with mechanical hand-drive   |

### A45B 25/22

Devices for increasing the resistance of umbrellas to wind

#### Definition statement

*This place covers:*



# USPTO Search Example – Step 4

## Step 4: Retrieve Issued U.S. Patents with CPC

- [www.uspto.gov/patent](http://www.uspto.gov/patent)
  - Use PatFT tool
  - Search String:  
CPC/A45B25/22
- ❖ HINT: No spaces



### Patent Tools & Links

#### Search for patents

Find existing patents, published patent applications and other published patent documentation

PatFT

AppFT

#### File Online

File a patent application online with EFS-Web

EFS-Web

#### Check the filing status of your patent application

Check patent application status with public PAIR and private PAIR

PAIR

#### Patent forms

Forms for patent applications and issued patents

#### Patents Assignments: Change & search ownership

During the examination of pending patent application as well as after the patent is granted, the owner may create and submit a Patent Assignment Recordation Coversheet to change patent ownership or owner name

EPAS

AOTW-P

Query [\[Help\]](#)

Term 1:  in Field 1:

Term 2:  in Field 2:

Select years [\[Help\]](#)

# USPTO Search Example – Step 4 (continued)

Step 4 (cont.): Review and Narrow Results of >100 patents, including U.S. Patent No. 10,092,069

❖ HINT: Click “Images” Button

❖ HINT: Click “Full Pages” Button

(12)

United States Patent  
Haythornthwaite et al.

(10)

Patent No.: US 10,092,069 B2

(45)

Date of Patent: Oct. 9, 2018

(54) **UMBRELLA HAVING AN ANTI-INVERSION MECHANISM**

(71) Applicant: **Shedrain Corporation**, Portland, OR (US)

(72) Inventors: **David Haythornthwaite**, Fujian Province (CN); **Andrew Haythornthwaite**, Fujian Province (CN)

(73) Assignee: **SHEDRAIN CORPORATION**, Portland, OR (US)

(\*) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 0 days.

(21) Appl. No.: **15/409,088**

(22) Filed: **Jan. 18, 2017**

(65) **Prior Publication Data**  
US 2017/0196324 A1 Jul. 13, 2017

**Related U.S. Application Data**

(63) Continuation-in-part of application No. 14/614,906, filed on Feb. 5, 2015, now Pat. No. 9,668,553.

(60) Provisional application No. 62/377,042, filed on Aug. 19, 2016, provisional application No. 62/423,708, filed on Nov. 17, 2016.

(51) **Int. Cl.**  
**A45B 25/22** (2006.01)  
**A45B 25/06** (2006.01)  
**A45B 25/18** (2006.01)  
**A45B 25/14** (2006.01)  
**A45B 25/02** (2006.01)

(52) **U.S. Cl.**  
CPC ..... **A45B 25/22** (2013.01); **A45B 25/02** (2013.01); **A45B 25/06** (2013.01); **A45B 25/14** (2013.01); **A45B 25/18** (2013.01)

(58) **Field of Classification Search**  
CPC ..... A45B 25/18; A45B 25/22; A45B 25/02  
USPC ..... 135/27, 32, 33.5, 38, 39, 40, 42, 43  
See application file for complete search history.

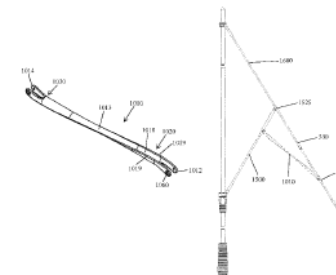
(56)

| References Cited         |   |
|--------------------------|---|
| U.S. PATENT DOCUMENTS    |   |
| 864,572 A                | 8/1907 Stimmel                            |
| 1,167,431 A              | 1/1916 Raabe                              |
| 1,369,996 A              | 3/1921 Westfeld                           |
| 1,405,824 A              | * 2/1922 Evans ..... A45B 25/02<br>135/29 |
| 1,434,942 A              | 11/1922 Brandt                            |
| 1,743,043 A              | 1/1930 Mclean                             |
| 1,964,292 A              | 6/1934 Livingston                         |
| 2,185,587 A              | 1/1940 Carlisle                           |
| (Continued)              |   |
| FOREIGN PATENT DOCUMENTS |   |
| CN                       | 2381177 6/2000                            |
| DE                       | 390403 2/1924                             |
| (Continued)              |   |

*Primary Examiner* — Noah Chandler Hawk  
(74) *Attorney, Agent, or Firm* — Leason Ellis LLP

(57) **ABSTRACT**  
  
An umbrella has a plurality of ribs attached to a runner by main struts. The umbrella has an anti-inversion mechanism formed of a plurality of anti-inversion struts. Each anti-inversion strut is pivotally coupled to one respective main strut and is pivotally connected to a floating joint member that is freely movable along a length of one respective rib. The anti-inversion mechanism also includes a stop that is fixedly attached to the rib and restricts the degree of travel of the floating joint member along the rib and is positioned to prevent the respective rib from inverting in response to an applied force.

17 Claims, 33 Drawing Sheets



## USPTO Search Example – Steps 5 & 6

Step 5: Review Each Relevant Patent in Depth including References Cited during Examination

- ❖ HINT: List of the references cited by the Examiner and the Applicant starts on the front page of the patent

(56)

| References Cited         |         |            |                      |
|--------------------------|---------|------------|----------------------|
| U.S. PATENT DOCUMENTS    |         |            |                      |
| 864,572 A                | 8/1907  | Stimmel    |                      |
| 1,167,431 A              | 1/1916  | Raabe      |                      |
| 1,369,996 A              | 3/1921  | Westbeld   |                      |
| 1,405,824 A *            | 2/1922  | Evans      | A45B 25/02<br>135/29 |
| 1,434,942 A              | 11/1922 | Brandt     |                      |
| 1,743,043 A              | 1/1930  | Mclean     |                      |
| 1,964,292 A              | 6/1934  | Livingston |                      |
| 2,185,587 A              | 1/1940  | Carlisle   |                      |
| (Continued)              |         |            |                      |
| FOREIGN PATENT DOCUMENTS |         |            |                      |
| CN                       | 2381177 | 6/2000     |                      |
| DE                       | 390403  | 2/1924     |                      |
| (Continued)              |         |            |                      |

Step 6: Retrieve U.S. Patent Applications with CPC, Review and Narrow Results

- Use AppFT tool
- Example: CPC/A45B25/22

# USPTO Search – Step 7

## Step 7: Broaden Your Search

- Consider inventor(s) and assignees of relevant patents

Searching US Patent Collection...

Results of Search in US Patent Collection db for:

AN/shedrain: 16 patents.

Hits 1 through 16 out of 16

Jump To

Refine Search

| PAT. NO.                     | Title   |
|------------------------------|---|
| 1 <a href="#">10,092,069</a> | <a href="#">Umbrella having an anti-inversion mechanism</a>     |
| 2 <a href="#">9,756,912</a>  | <a href="#">Wind resistant umbrella</a>                         |
| 3 <a href="#">D789,074</a>   | <a href="#">Button for a handle</a>                             |
| 4 <a href="#">9,668,554</a>  | <a href="#">Umbrella having an anti-inversion mechanism</a>     |
| 5 <a href="#">9,668,553</a>  | <a href="#">Umbrella having an anti-inversion mechanism</a>     |
| 6 <a href="#">9,609,926</a>  | <a href="#">Umbrella having improved shaft and rib assembly</a> |
| 7 <a href="#">D773,799</a>   | <a href="#">Button for a handle</a>                             |
| 8 <a href="#">9,301,582</a>  | <a href="#">Umbrella having improved shaft and rib assembly</a> |
| 9 <a href="#">D699,543</a>   | <a href="#">Handle</a>  |
| 10 <a href="#">D691,446</a>  | <a href="#">Handle</a>  |
| 11 <a href="#">D689,280</a>  | <a href="#">Umbrella having reflective material</a>             |
| 12 <a href="#">D652,203</a>  | <a href="#">Umbrella having reflective material</a>             |
| 13 <a href="#">7,996,961</a> | <a href="#">Pliable handle</a>                                  |
| 14 <a href="#">7,634,839</a> | <a href="#">Pliable handle</a>                                  |
| 15 <a href="#">7,234,205</a> | <a href="#">Pliable handle</a>                                  |
| 16 <a href="#">6,968,599</a> | <a href="#">Pliable handle</a>                                  |

Searching US Patent Collection...

Results of Search in US Patent Collection db for:

IN/Haythornthwaite: 33 patents.

Hits 1 through 33 out of 33

Jump To

Refine Search

| PAT. NO.                     | Title  |
|------------------------------|--|
| 1 <a href="#">10,092,069</a> | <a href="#">Umbrella having an anti-inversion mechanism</a>                    |
| 2 <a href="#">9,838,749</a>  | <a href="#">System and methods for providing content to vehicles</a>           |
| 3 <a href="#">9,756,912</a>  | <a href="#">Wind resistant umbrella</a>  |
| 4 <a href="#">D789,074</a>   | <a href="#">Button for a handle</a>  |
| 5 <a href="#">9,668,554</a>  | <a href="#">Umbrella having an anti-inversion mechanism</a>                    |
| 6 <a href="#">9,668,553</a>  | <a href="#">Umbrella having an anti-inversion mechanism</a>                    |
| 7 <a href="#">9,609,926</a>  | <a href="#">Umbrella having improved shaft and rib assembly</a>                |
| 8 <a href="#">D773,799</a>   | <a href="#">Button for a handle</a>  |
| 9 <a href="#">9,301,582</a>  | <a href="#">Umbrella having improved shaft and rib assembly</a>                |
| 10 <a href="#">8,858,038</a> | <a href="#">Lighting apparatus with peak/flat adjustment</a>                   |
| 11 <a href="#">D713,637</a>  | <a href="#">Pocket umbrella and container</a>                                  |
| 12 <a href="#">D699,543</a>  | <a href="#">Handle</a>   |
| 13 <a href="#">D691,446</a>  | <a href="#">Handle</a>   |
| 14 <a href="#">8,453,660</a> | <a href="#">Foldable pocket umbrella</a>                                       |
| 15 <a href="#">D481,531</a>  | <a href="#">Umbrella handle</a>  |
| 16 <a href="#">D466,764</a>  | <a href="#">Eating utensil</a>   |
| 17 <a href="#">6,453,063</a> | <a href="#">Automatic focused ion beam imaging system and method</a>           |
| 18 <a href="#">6,288,393</a> | <a href="#">Automated method of circuit analysis</a>                           |
| 19 <a href="#">5,647,982</a> | <a href="#">Vacuum filter element</a>  |
| 20 <a href="#">D343,351</a>  | <a href="#">Container</a>  |
| 21 <a href="#">D309,071</a>  | <a href="#">Condiment dispenser</a>  |
| 22 <a href="#">4,721,222</a> | <a href="#">Combination beverage can carrier device and drinking accessory</a> |
| 23 <a href="#">4,702,004</a> | <a href="#">Glass razor blade and handle</a>                                   |
| 24 <a href="#">4,616,828</a> | <a href="#">Tennis ball</a>  |
| 25 <a href="#">4,613,138</a> | <a href="#">Tennis racquet with flexible membrane frame</a>                    |
| 26 <a href="#">4,597,576</a> | <a href="#">Sports racquet utilizing non-circular strings</a>                  |
| 27 <a href="#">D283,343</a>  | <a href="#">Heater</a>   |
| 28 <a href="#">D281,810</a>  | <a href="#">Heater</a>   |

# USPTO Search – Step 7

## Step 7: Broaden Your Search

- Keyword Searching
  - ❖ HINT: Use OR between synonyms
  - ❖ HINT: Place phrases and terms of art in quotation marks
  - ❖ HINT: Use truncation symbols (\$)
- Other sources:
  - Search the Espacenet patent database @ <http://worldwide.espacenet.com>
  - Search Non-Patent Literature Disclosures

Searching US Patent Collection...

Results of Search in US Patent Collection db for:  
((wind AND rib) AND (umbrella OR parasol)): 389 patents.  
Hits 1 through 50 out of 389

Next 50 Hits

Jump To

Refine Search

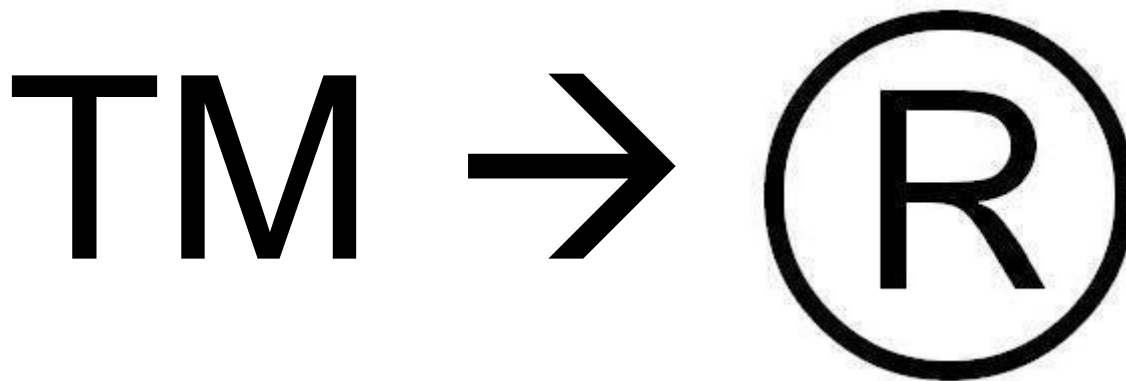
(wind AND rib) AND (umbrella OR parasol)

PAT. NO.

- 1 [10,101,045](#) Apparatus for both humidification and air cleaning
- 2 [10,101,044](#) Humidification and air cleaning apparatus
- 3 [10,092,069](#) Umbrella having an anti-inversion mechanism
- 4 [10,092,034](#) Domed water pipe with supporting tray
- 5 [10,088,111](#) Collapsible LED fixture
- 6 [10,077,893](#) Removable anchoring system and uses thereof
- 7 [10,076,400](#) Embolic protection device and method
- 8 [10,072,858](#) Apparatus for both humidification and air cleaning
- 9 [10,072,857](#) Apparatus for both humidification and air cleaning
- 10 [10,054,323](#) Apparatus for both humidification and air cleaning
- 11 [10,034,491](#) Domed water pipe with supporting tray
- 12 [10,016,033](#) Adjustable canopy umbrella with auditory pin locking and centering system
- 13 [9,986,798](#) Umbrellas with inflatable portions
- 14 [9,968,167](#) Unique twelve-different-application umbrella system, having pivotable pole
- 15 [9,964,259](#) Collapsible LED fixture
- 16 [9,943,148](#) Protector for rib tip of umbrella
- 17 [9,901,149](#) Canopies and canopy support structures
- 18 [9,861,168](#) Free arm umbrella
- 19 [9,844,250](#) Anti-turning umbrella frame
- 20 [9,839,268](#) Wind-resistant umbrella frame structure
- 21 [9,822,545](#) Deployable shading structure

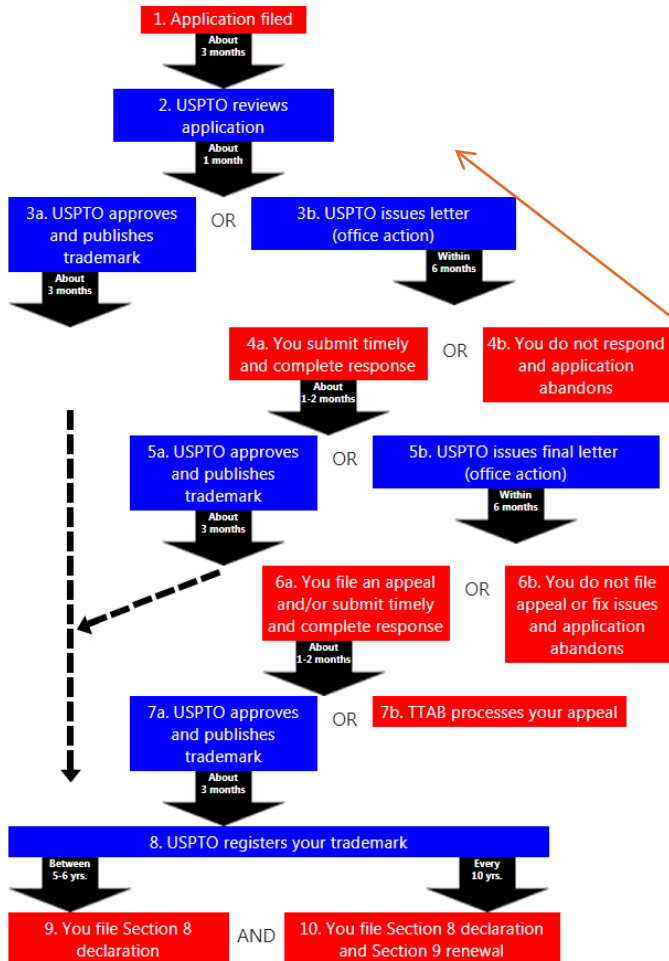
# Trademark Procurement

- USPTO's Trademark Process
- [www.uspto.gov/trademarks-getting-started/trademark-process](http://www.uspto.gov/trademarks-getting-started/trademark-process)



- 1 Is a trademark application right for you?
- 2 Get ready to apply
- 3 Prepare and submit your application
- 4 Work with the assigned USPTO examining attorney
- 5 Receive approval/denial of your application
- 6 Maintain your registration

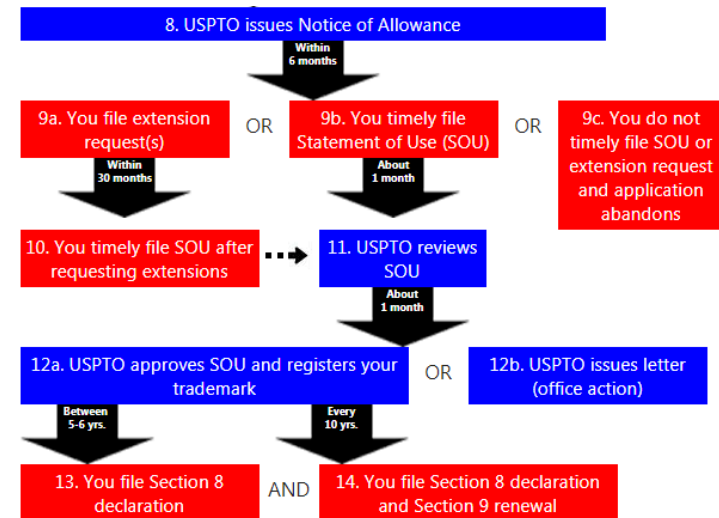
# Trademark Procurement - flowcharts



1(a) Basis  
Use-in-  
Commerce

1(b) Basis  
Intent-to-Use

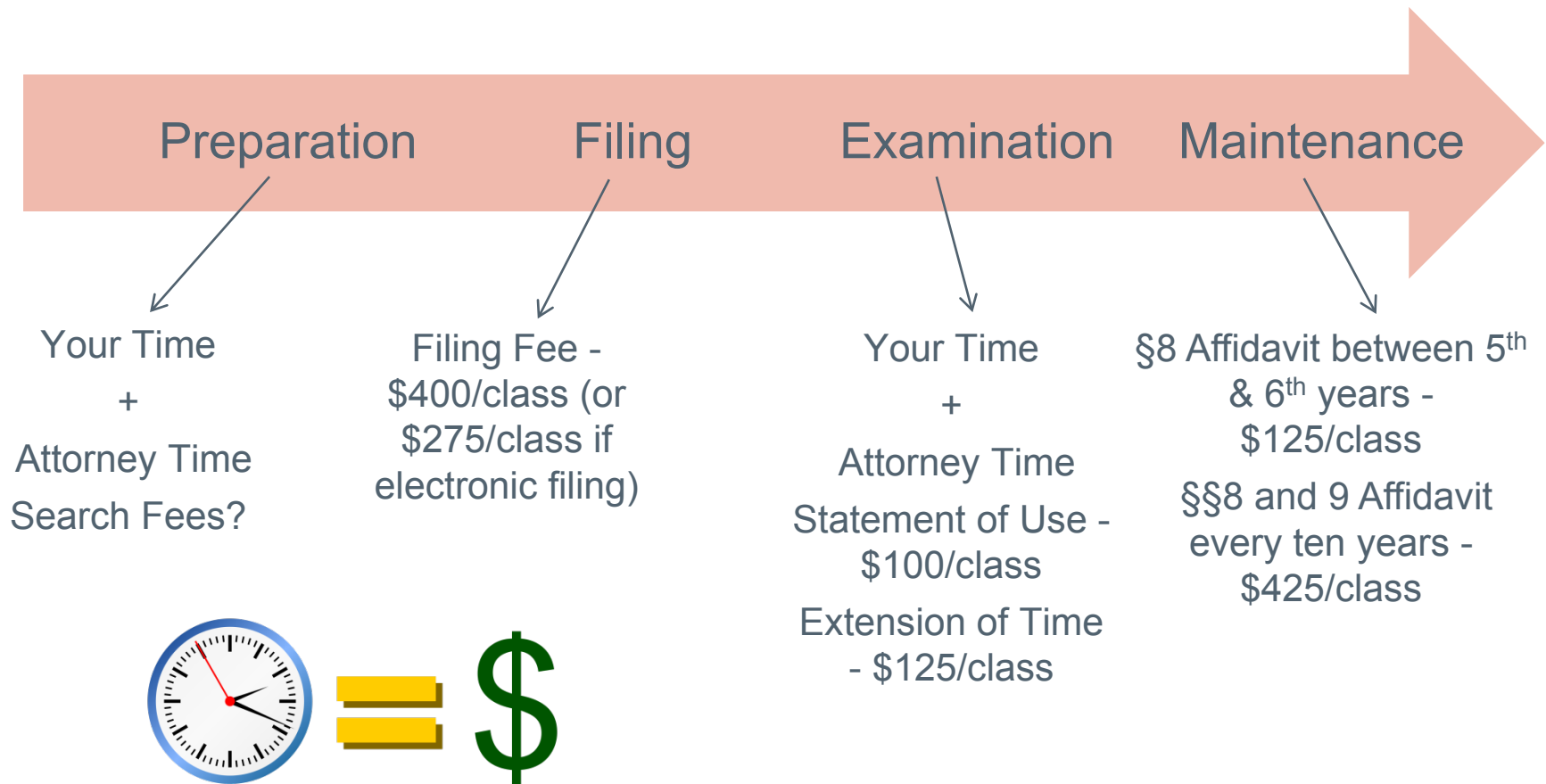
Follow 1(a) timeline, then...



[www.uspto.gov/trademark/trademark-timelines/trademark-application-and-post-registration-process-timelines](http://www.uspto.gov/trademark/trademark-timelines/trademark-application-and-post-registration-process-timelines)



# Trademark Procurement – a financial timeline



# Trademark Searching

- [www.uspto.gov/trademark](http://www.uspto.gov/trademark)
- Exact mark
- Part(s) of the mark
  - ❖ HINT: Try sounds-like searching
- Combine part(s) of the mark with an International Classification (IC) (Find @ [www.wipo.int/classifications/nice/en/](http://www.wipo.int/classifications/nice/en/))
- Combine part(s) of the mark with goods or services
- Other sources: common law use (search online)

The screenshot shows the USPTO website interface. At the top, the USPTO logo and "UNITED STATES PATENT AND TRADEMARK OFFICE" are displayed. Navigation links include "About Us", "Jobs", "Contact Us", and "MyUSPTO". A search bar is present with the text "Search uspto.gov". Below the navigation bar, there are tabs for "Patents", "Trademarks", "IP Policy", and "Learning and Resources". The "Trademarks" tab is active, showing a "Getting Started with Trademarks" section with links to "Trademark basics", "Why hire a private trademark attorney", "Trademark FAQs", "Trademark process", "Madrid Protocol", and "Caution: misleading notices". Below this, there is a "Trademark Tools & Links" section with six tiles: "Trademark basics", "Trademark videos", "Trademark Assistance Center", "Search trademark database", "Apply online", and "Check Status & View Documents". The "Search trademark database" tile is circled in orange, highlighting the "Search TESS" button.

**Trademark Tools & Links**

- Trademark basics**: What to know before you file an application
- Trademark videos**: Videos on what to know before, during, and after you file an application
- Trademark Assistance Center**: Assists all customers, from first-time filers to legal professionals and experienced trademark applicants and registrants
- Search trademark database**: Search for trademark applications and registrations with Trademark Electronic Search System (TESS)
  - Search TESS
- Apply online**: Apply for a trademark, respond to a letter from the USPTO, maintain your registration, and more through the Trademark Electronic Application System (TEAS)
- Check Status & View Documents**: Check your application status and filing due dates and view records in Trademark Status and Document Retrieval (TSDR)
  - Search TSDR

# Possible Overlapping Universes of Intellectual Property in the United States

## Function

Utility Patents

*E.g. Software*

Design  
Patents

*E.g. User  
interface*

Copyrights

*E.g. Logo*

Trademarks

Trade Dress

## Appearance

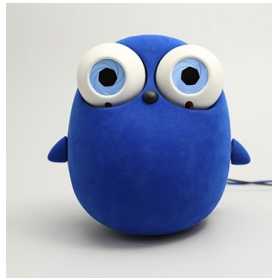
## Brand



## Case Study #1 – Beatbots LLC

- Founded by a CMU graduate student, Marek Michalowski
- Website: <http://beatbots.net/>
- Products and Services

- Robots
- Software
- Apparel
- More?



- Intellectual Property

- Utility Patents (search at [www.uspto.gov](http://www.uspto.gov))
- Design Patents (search at [www.uspto.gov](http://www.uspto.gov))
- Trademarks (search at [www.uspto.gov](http://www.uspto.gov))
- Copyrights (search at [www.copyright.gov](http://www.copyright.gov))
- More?



## Beatbots's Brand



- Trademarks
  - Registered U.S. trademark BEATBOTS in International Classes 9 (electrical and scientific apparatus), 25 (apparel), and 28 (games and playthings)
  - Unregistered marks?
- Copyrights?
- Trade Dress?

## Beatbots' Blennie

- A wobbling robot that exhibits vestibulo-ocular reflex
- <http://beatbots.net/blennie>
- Intellectual Property
  - Utility Patent: U.S. Patent No. 9,358,475, which claims priority to a provisional patent application
  - Design Patent: U.S. Design Patent No. D714,881
  - Trademarks?
  - Copyright?
  - Trade Dress?



## Beatbots' Ploomi

- A glowing, touch-sensitive, interactive robotic character
- <http://beatbots.net/ploomi>
- Intellectual Property
  - Utility Patent: U.S. Patent No. 9,421,688, which claims priority to a provisional patent application
  - Design Patent: U.S. Design Patent No. D714,883
  - Trademarks?
  - Copyright?
  - Trade Dress?



## Beatbots's metrognōm

- A metronome and a metro-gnome
- <http://beatbots.net/metrognom>
- Intellectual Property
  - Design Patent: U.S. Design Patent No. D714,888
  - Trademarks?
  - Copyright Registration Nos. VAu001149651 (color drawing), VAu001149660 (line drawing), VAu001149726 (sculpture)
  - Trade Dress?





## Case Study #2: Uber Technologies Inc.

- Provider of a mobile application that allows users to request transportation services and automatically sends the closest available Uber driver to the user
- Founded in 2009
- Currently available in over 60 countries
- [www.uber.com](http://www.uber.com)



## Uber's Intellectual Property

- Issued U.S. Utility Patents
- Issued U.S. Design Patents
- Issued foreign patents (Canada and Europe)
- Pending U.S. and foreign patent applications
- Registered U.S. Trademarks, including:
  - UBER
  - UBEREATS
  - UBERRUSH
  - UBERX
  - EVERYONE'S PRIVATE DRIVER
  - UBERCAB





US009230292B2

(12) **United States Patent**  
Amin et al.

(10) **Patent No.:** US 9,230,292 B2  
(45) **Date of Patent:** Jan. 5, 2016

(54) **PROVIDING ON-DEMAND SERVICES THROUGH USE OF PORTABLE COMPUTING DEVICES**

(71) Applicant: **Uber Technologies, Inc.**, San Francisco, CA (US)

(72) Inventors: **Shalin Amin**, San Francisco, CA (US);  
**Mina Radhakrishnan**, San Francisco, CA (US); **Paul-Phillip Holden**, San Francisco, CA (US); **Curtis Chambers**, San Francisco, CA (US)

(73) Assignee: **Uber Technologies, Inc.**, San Francisco, CA (US)

(\*) Notice: Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 248 days.

(21) Appl. No.: 13/672,634

(22) Filed: Nov. 8, 2012

(65) **Prior Publication Data**  
US 2014/0129951 A1 May 8, 2014

(51) **Int. Cl.**  
*G06F 3/0484* (2013.01)  
*G06Q 50/30* (2012.01)  
*G06Q 30/06* (2012.01)  
*G08G 1/00* (2006.01)  
*H04L 29/08* (2006.01)  
*H04W 4/02* (2009.01)

(52) **U.S. Cl.**  
CPC ..... *G06Q 50/30* (2013.01); *G06F 3/0484* (2013.01); *G06Q 30/06* (2013.01); *G08G 1/00* (2013.01); *H04L 67/18* (2013.01); *H04W 4/02* (2013.01)

(58) **Field of Classification Search**  
None

See application file for complete search history.

(56) **References Cited**

U.S. PATENT DOCUMENTS

|                |        |                 |
|----------------|--------|-----------------|
| 3,953,720 A    | 4/1976 | Kelch           |
| 5,862,244 A    | 1/1999 | Kleiner et al.  |
| 5,930,474 A    | 7/1999 | Dunworth et al. |
| 6,356,838 B1   | 3/2002 | Paul            |
| 6,417,856 B1 * | 7/2002 | Tamura          |
| 6,456,207 B1   | 9/2002 | Yen             |
| 6,516,056 B1   | 2/2003 | Justice et al.  |
| 6,519,463 B2   | 2/2003 | Tendler         |
| 6,697,730 B2   | 2/2004 | Dickerson       |
| 6,756,913 B1   | 6/2004 | Ayed            |

(Continued)

FOREIGN PATENT DOCUMENTS

|    |                 |        |
|----|-----------------|--------|
| JP | 2002/024659 A   | 1/2002 |
| KR | 10-2005-0015772 | 2/2005 |
| WO | WO99/44186      | 9/1999 |

OTHER PUBLICATIONS

"Uber iPhone app update 1.0.48", Jun. 27, 2011, <http://blog.uber.com/2011/06/27/uber-iphone-app-update-1-0-48/> \*

(Continued)

Primary Examiner — Ryan Barrett

(74) Attorney, Agent, or Firm — Mahamed Paradise LLP

(57) **ABSTRACT**

A method for requesting an on-demand service on a computing device is provided. One or more processors determine the current location of the computing device. A multistate selection feature of a plurality of service options for providing the on-demand service is presented on the display of the computing device. The multistate selection feature enables a user to select a service option that is available within a region that includes the current location to provide the on-demand service. In response to the user selecting one of the plurality of service options, a summary user interface is presented on the display to provide region-specific information about the on-demand service based on the selected service option.

18 Claims, 15 Drawing Sheets

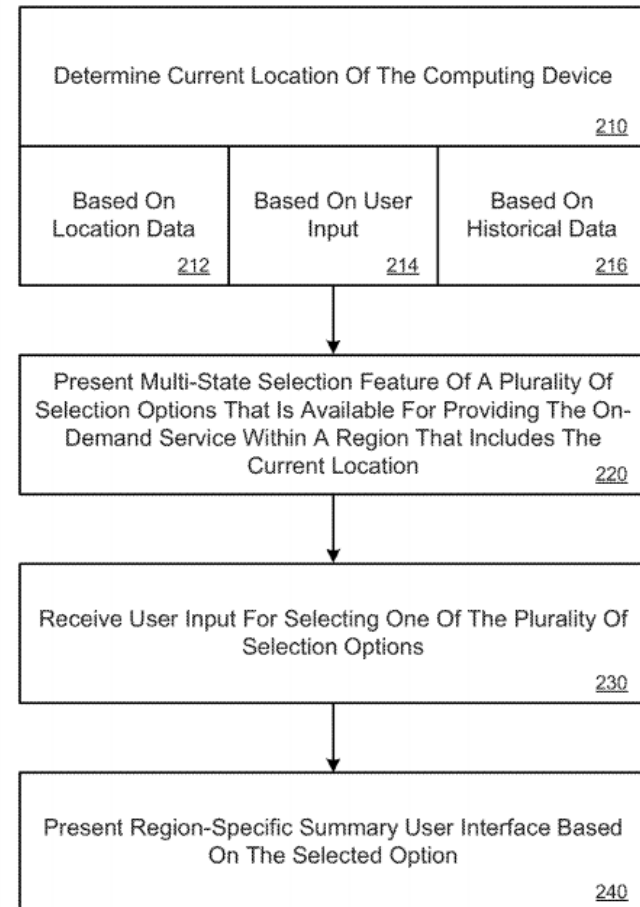
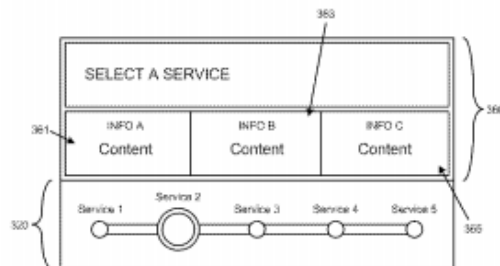


FIG. 2

# Independent Claim 1 in U.S. Patent No. 9,230,292

What is claimed is:

1. A method for providing information about an on-demand service on a computing device, the method being performed by one or more processors and comprising:

determining, via a global positioning system (GPS) component of the computing device, a current location of the computing device;

providing, on a display of the computing device, a multistate selection feature that is operative by a user to select one of multiple states, each of the multiple states being associated with a corresponding transport service option that is available for request by a user operating the computing device at the current location of the computing device, wherein the transport service option that is associated with each state includes a fare or unit cost which is different than a fare or unit cost of the transport service option associated with each of the other states of the multiple states;

receiving, in connection with the multistate selection feature, a user input to select one of the multiple states;

in response to receiving the user input, corresponding to the selected state, providing, on the display, a user interface to provide service-specific information that is specific to the transport service option associated with the selected state, the service-specific information including location-specific information that is based on the current location of the computing device and a location of one or more service providers of the transport service option associated with the selected state, and cost information about the fare or unit cost of the transport service option associated with the selected state; and

wherein the multistate selection feature includes (i) a track, and (ii) a sliding feature that can be moved amongst multiple positions along the track by the user input to select any one of the multiple states; and

wherein the sliding feature includes a graphic indicator that is dynamically changed to represent the transport service option of the selected state as the sliding feature is moved amongst the multiple positions.



US00D724620S

(12) **United States Design Patent** (10) **Patent No.:** **US D724,620 S**  
**Hansen et al.** (45) **Date of Patent:** **\*\* Mar. 17, 2015**

(54) **COMPUTING DEVICE DISPLAY SCREEN  
 WITH GRAPHICAL USER INTERFACE**

(71) Applicant: **Uber Technologies, Inc.**, San Francisco,  
 CA (US)

(72) Inventors: **Richard Gary Hansen**, San Francisco,  
 CA (US); **Travis Cordell Kalanick**, San  
 Francisco, CA (US)

(73) Assignee: **Uber Technologies, Inc.**, San Francisco,  
 CA (US)

(\*\*) Term: **14 Years**

(21) Appl. No.: **29/436,687**

(22) Filed: **Nov. 8, 2012**

(51) **LOC (10) CL** ..... **14-04**

(52) **U.S. CL** ..... **D14/489**

(58) **Field of Classification Search**

USPC ..... D14/485-495; D5/63; D19/5-8;  
 D20/11; 345/440-442; 348/14.03;  
 715/212, 215, 221, 706, 733, 763, 770,  
 715/773, 777, 779, 782, 783, 786, 792, 793,  
 715/809, 810, 846, 849, 867, 977, 976, 835,  
 715/211

See application file for complete search history.

(56) **References Cited**

**U.S. PATENT DOCUMENTS**

D385,545 S \* 10/1997 Levin ..... D14/489  
 D436,420 S \* 4/2002 Platz et al. .... D14/489

D531,635 S \* 11/2006 Hoefnagels et al. .... D14/485  
 D563,984 S \* 3/2008 Okuyama ..... D14/489  
 D572,721 S \* 7/2008 Guimaraes et al. .... D14/493  
 D593,123 S \* 5/2009 Danton ..... D14/489  
 D597,101 S \* 7/2009 Chaudhri et al. .... D14/488  
 D678,901 S \* 3/2013 Gleason ..... D14/489  
 D684,182 S \* 6/2013 Phelan ..... D14/489  
 D686,246 S \* 7/2013 Gardner et al. .... D14/491  
 D688,699 S \* 8/2013 Gleason ..... D14/489  
 D694,758 S \* 12/2013 Muller ..... D14/432  
 2011/0252372 A1 \* 10/2011 Chaudhri ..... 715/835

\* cited by examiner

*Primary Examiner*—Karen S Acker

(74) *Attorney, Agent, or Firm*—Mahamed Paradise LLP

(57) **CLAIM**

The ornamental design for a computing device display screen  
 with graphical user interface, as shown and described.

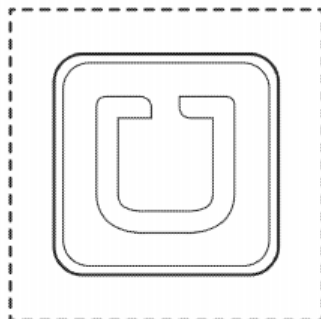
# DESCRIPTION

FIG. 1 is an enlarged front view of a computing device display  
 screen with graphical user interface, under a first embodi-  
 ment; and,

FIG. 2 is a front view of a computing device display screen  
 with graphical user interface, under a second embodiment.

The broken lines showing portions of a computing device  
 display screen in FIGS. 1 and 2 represent environmental  
 subject matter that forms no part of the claimed design. The  
 broken line within the solid line perimeter of the design in  
 FIG. 2 represents a portion of the computing device display  
 screen with graphical user interface that forms no part of the  
 claimed design.

**1 Claim, 2 Drawing Sheets**

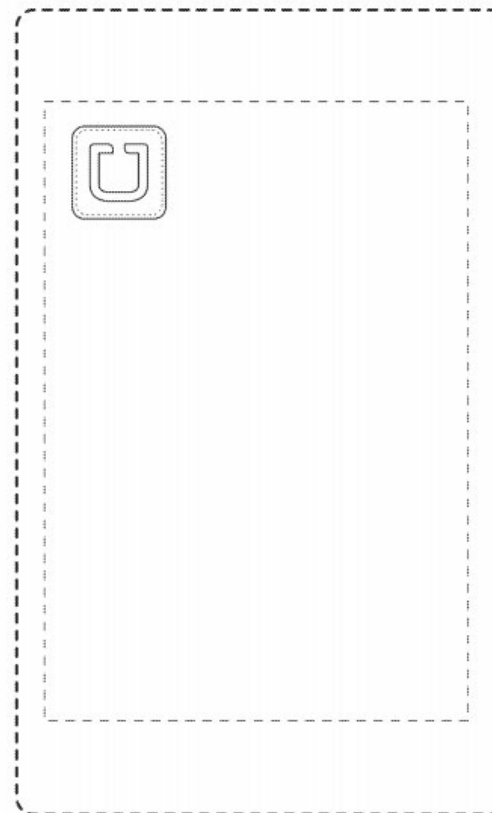


**U.S. Patent**

**Mar. 17, 2015**

**Sheet 2 of 2**

**US D724,620 S**



**FIG. 2**



US00D760283S

US D760,283 S

Page 2

(12) **United States Design Patent**  
**Horiuchi et al.**(10) **Patent No.:** **US D760,283 S**  
(45) **Date of Patent:** **Jun. 28, 2016**(54) **COMPUTING DEVICE DISPLAY SCREEN**  
**WITH GRAPHICAL USER INTERFACE**(71) **Applicant:** **UBER TECHNOLOGIES, INC.,** San Francisco, CA (US)(72) **Inventors:** **Carol Horiuchi,** Brisbane, CA (US);  
**Shalin Amin,** San Francisco, CA (US)(73) **Assignee:** **Uber Technologies, Inc.,** San Francisco, CA (US)(\*\*) **Term:** **14 Years**(21) **Appl. No.:** **29/509,772**(22) **Filed:** **Nov. 20, 2014**(51) **LOC (10) CL** **14-04**(52) **U.S. CL** **D14/489**  
**USPC**(58) **Field of Classification Search** **D14/485-495**  
**USPC**CPC ... G06F 3/048; G06F 3/0481; G06F 3/04812;  
G06F 3/04815; G06F 3/04817; G06F 3/0482;  
G06F 3/0483; G06F 3/0484; G06F 3/04842;  
G06F 3/04845; G06F 3/04847; G06F 3/0485;  
G06F 3/04855; G06F 3/0486; G06F 3/0487;  
G06F 3/0488; G06F 3/04883; G06F 3/04886  
See application file for complete search history.**References Cited****U.S. PATENT DOCUMENTS**5,392,388 A \* 2/1995 Gibson  
5,526,341 A \* 6/1996 Shiba G11B 7/22  
369/275.1  
D467,937 S \* 12/2002 Grundel D14/488  
7,119,764 B2 10/2006 Tanaka  
D544,495 S \* 6/2007 Evans D14/488  
D555,164 S 11/2007 Sergio  
D565,668 S \* 4/2008 Basethag D14/401  
D567,297 S \* 4/2008 Del Castillo D14/401  
D619,614 S \* 7/2010 O'Mullan D14/489D644,661 S 9/2011 Gardner  
8,223,127 B2 \* 7/2012 Park G06F 3/0362  
345/156  
D665,161 S 8/2012 Leifeld  
D665,162 S 8/2012 Leifeld  
D669,497 S \* 10/2012 Lee D14/489  
D669,499 S 10/2012 Gardner  
D687,057 S 7/2013 Pitkins  
D689,505 S 9/2013 Casway  
D690,729 S 10/2013 Albratowski  
D694,764 S \* 12/2013 Talbot D14/485  
D697,523 S \* 1/2014 Oda D14/486  
D699,741 S \* 2/2014 Wandland D14/487  
D699,745 S \* 2/2014 Pearson D14/488  
D712,911 S \* 9/2014 Pearson D14/486  
D713,412 S 9/2014 Gall  
D715,313 S 10/2014 Hontz, Jr.

(Continued)

**OTHER PUBLICATIONS**

Office Action dated Jul. 16, 2015 in corresponding Canadian Application No. 161671.

(Continued)

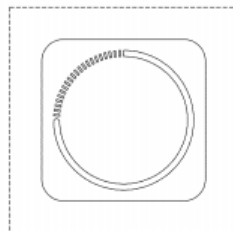
*Primary Examiner* — Melanie H Tung*Assistant Examiner* — Bao-Yen Nguyen(74) *Attorney, Agent, or Firm* — Mahamedi Paradise LLP(57) **CLAIM**

The ornamental design for a computing device display screen with graphical user interface, as shown and described.

**DESCRIPTION**

FIG. 1 is an enlarged front view of a computing device display screen with graphical user interface, under a first embodiment; and,

FIG. 2 is a front view of a computing device display screen with graphical user interface, under a second embodiment. The broken lines showing a portion of a computer device display screen in FIGS. 1 and 2 represent environmental subject matter that forms no part of the claimed design.

**1 Claim, 2 Drawing Sheets**

(56)

**References Cited****U.S. PATENT DOCUMENTS**8,875,054 B2 10/2014 Hopkins  
D716,819 S \* 11/2014 Kotler D14/485  
D724,621 S 3/2015 Rydenhag  
8,976,126 B2 3/2015 Kim  
D726,741 S 4/2015 Lee  
9,104,211 B2 8/2015 Fackell  
D739,861 S \* 9/2015 Perez D14/485  
D739,872 S 9/2015 Bang  
D740,301 S \* 10/2015 Sogisano D14/485  
9,175,871 B2 11/2015 Goutley  
D748,101 S 1/2016 Bang  
2007/022042 A1 \* 9/2007 Bohan G06F 1/1626  
715/786  
2012/0191257 A1 7/2012 Cocoran  
2013/0127911 A1 5/2013 Brown  
2014/0160078 A1 6/2014 Seo**OTHER PUBLICATIONS**

Office Action dated Sep. 1, 2015 in corresponding Japanese Application No. 2015-006327.

Office Action dated Sep. 5, 2015 in corresponding Korean Application No. 30-2015-0015029.

Uber Launches New Carpooling App for Driver in China (on-line), dated Oct. 2, 2015. Retrieved from Internet Feb. 24, 2016, URL: <https://web.archive.org/web/20151002181940/http://www.autoevolution.com/news/uber-launches-new-carpooling-app-for-drivers-in-china-100322.html> (1 page).

U.S. Registered Trademark #4437078, filed on Jan. 19, 2012 by Daniel Martinez Fumoleau. First-use-in-commerce date Apr. 15, 2012.

U.S. Registered Trademark #4454064, filed Apr. 24, 2013 by LPB, LLC. First-use-in-commerce date Jan. 7, 2013.

U.S. Registered Trademark #4740462, filed Mar. 17, 2014 by Brotherhood Mutual Insurance Company. First-use-in-commerce date Jul. 1, 2014.

Getting Online with the Uber Partner App (on-line), dated Jan. 22, 2015. Retrieved from Internet Feb. 24, 2016, URL: <https://web.archive.org/web/20150122010731/http://www.ubervyney.info/app> (2 pages).

\* cited by examiner

U.S. Patent

Jun. 28, 2016

Sheet 1 of 2

US D760,283 S

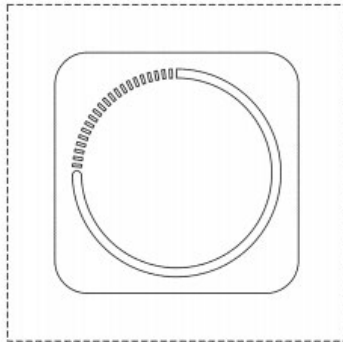


FIG. 1

U.S. Patent

Jun. 28, 2016

Sheet 2 of 2

US D760,283 S

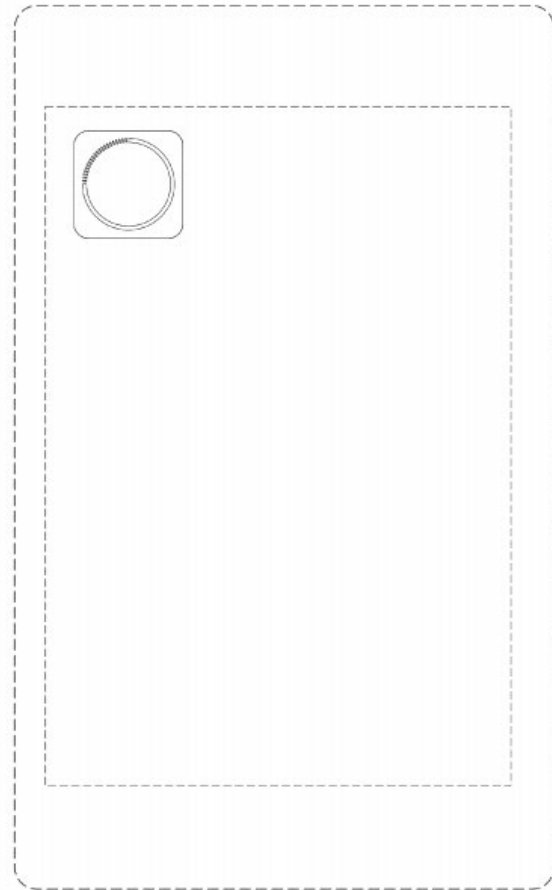
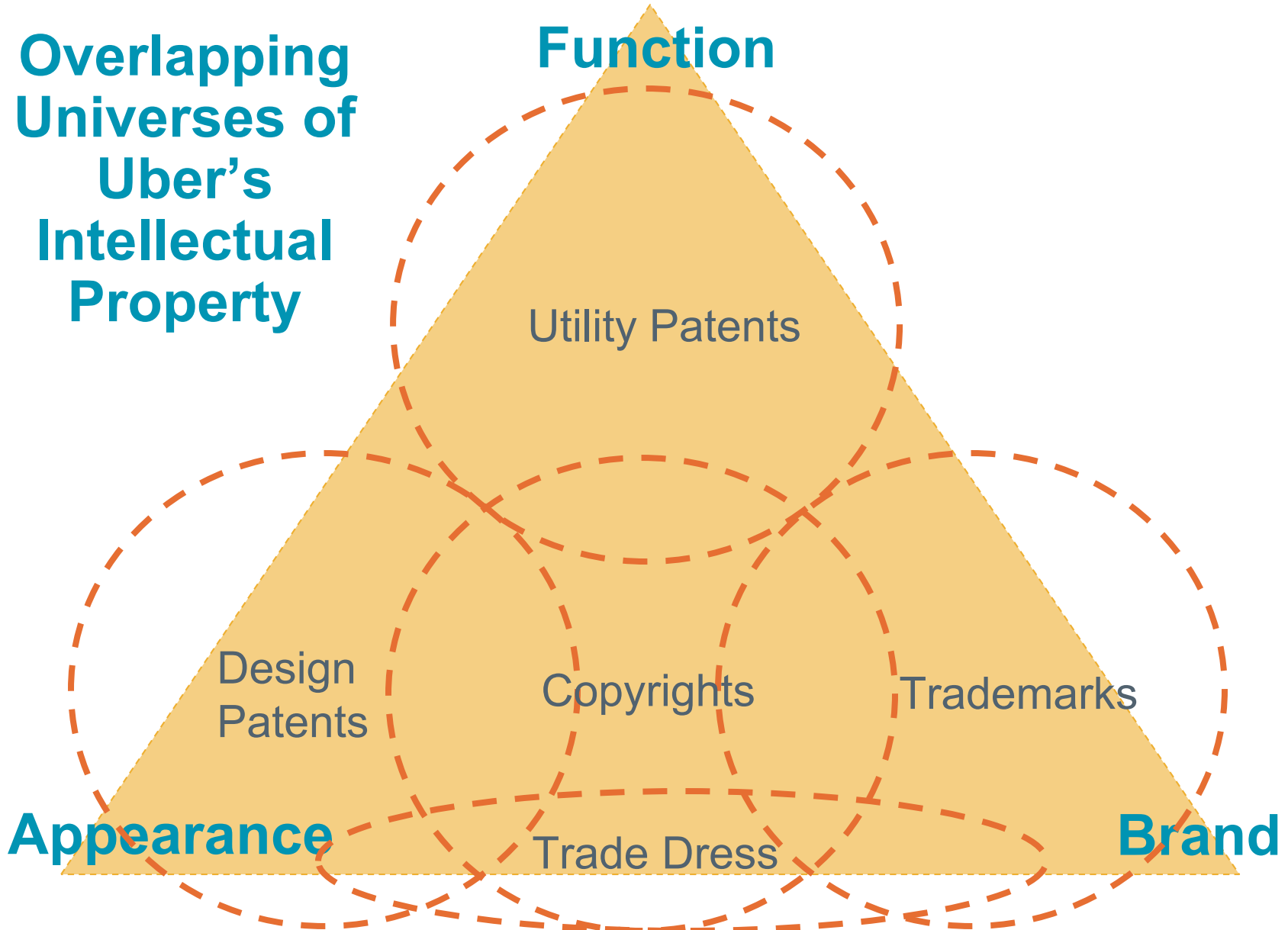


FIG. 2





# THANK YOU!

- **Lauren S. Murray**  
(412) 355-7471  
lauren.murray@klgates.com